IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Volkmar A. Sohner

Serial No.

09/838,793

Filed

April 20, 2001

Title

Data Model For Supply Chain Planning.

Group

2184

Examiner

Albert W. Paladini

RECEIVED

JAN 0 2 2009

## STATEMENT OF UNINTENIONAL DELAY

**OFFICE OF PETITIONS** 

Attention: Office of Petitions Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We understand that a notice of Abandonment was issued in the above referenced matter. The entire delay in filing the petition to revive was unintentional as supported in the accompanying documents including: Declarations by Tammy Parubchenko, Dana Casterlin, Walter Hanchuk, and Exhibits 1&2 (both Proprietary Material not open to public; to be opened only by examiner or other authorized US Patent & Trademark Office Employee; Do Not Scan).

The Commissioner is hereby authorized to charge any additional fees which may be required by these papers, or credit any overpayment to Deposit Account No. 03-1240, Order No. 12637-231.

CERTIFICATE OF HALLING

Respectfully submitted. Chadbourne & Parke, L.L.P.

Walter G. Hanchuk, Registration No. 35,179

By:

Chadbourne & Parke, L.L.P.

30 Rockefeller Plaza

Dated: December

New York, NY 10112

212-408-5100 Telephone

212-541-5369 Facsimile

NY2 - 449097,01

Approved for use through 01/31/2009. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT

Docket Number (Optional)

PTO/SB/64 (12-08)

ABANDONED UNINTENTIONALLY UNDER 37 C	FR 1.13/(D)	
First named inventor: Volkmar A. Sohner		RECEIVED
Application No.: 09/838,793	Art Unit: 2184	JAN 0 2 2009
Filed: April 20, 2001	Examiner: Albert W	. PalacOFFICE OF PETITION
Title: Data Model For Supply Chain Planning		
Attention: Office of Petitions  Mail Stop Petition  Commissioner for Patents P.O. Box 1450  Alexandria, VA 22313-1450  FAX (571) 273-8300		`
NOTE: If information or assistance is needed in Information at (571) 272-3282.	completing this form, ple	ease contact Petitions
The above-identified application became abandoned for fai action by the United States Patent and Trademark Office. The date of the period set for reply in the office notice or action place.	e date of abandonment i	is the day after the expiration
APPLICANT HEREBY PETITIONS FOR F	REVIVAL OF THIS APPL	ICATION
NOTE: A grantable petition requires the following (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee filed before June 8, 1995; and for all (4) Statement that the entire delay was un	ee - required for all utility design applications; and	
1.Petition fee Small entity-fee \$ (37 CFR 1.17(m)). Application	ant claims small entity st	tatus. See 37 CFR 1.27.
Other than small entity – fee \$ 1620 (37 CF	R 1.17(m))	***
Reply and/or fee     A. The reply and/or fee to the above-noted Office a the form of		type of reply):
has been filed previously onis enclosed herewith.	·	

B. The issue fee and publication fee (if applicable) of \$ \_1700 has been paid previously on January 31, 2007

is enclosed herewith.

[Page 1 of 2]
This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/64 (12-08)

wed for use through 01/31/2009, OMB 0651-0031

U.S. Patent and They mark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid QMB control number.

Terminal disclaimer with disclaimer fee	
Since this utility/plant application was filed on or after June 8	, 1995, no terminal disclaimer is required.
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) or for other than a small entity) disclaiming the required period (PTO/SB/63).	f \$ for a small entity or \$ of time is enclosed herewith (see
<ol> <li>STATEMENT: The entire delay in filing the required reply from the filing of a grantable petition under 37 CFR 1.137(b) was unintentic Trademark Office may require additional information if there is a gabandonment or the delay in filing a petition under 37 CFR 1.137(subsections (III)(C) and (D)).]</li> </ol> WARNING:	onal. [NOTE: The United States Patent and juestion as to whether either the
Petitioner/applicant is cautioned to avoid submitting personal information in	n dequestation filed in a natural analization that was
contribute to identity theft. Personal information such as social security numbers (other than a check or credit card authorization form PTO-2038 such every contribute to support a petition or an application. If this type of personal information petitioners/applicants should consider redacting such personal information to the USPTO. Petitioner/applicant is advised that the record of a patent a cort the application (unless a non-publication request in compliance with 37 Cort a patent. Furthermore, the record from an abandoned application may referenced in a published application or an incompliance in the application file.	numbers, bank account numbers, or credit card ibmitted for payment purposes) is never required by iformation is included in documents submitted to the immation from the documents before submitting them application is available to the public after publication or issuance also be available to the public if the application is checks and credit card authorization forms PTO-
Signature	<u>12/31/2008</u> Date
2 Oignature	Date
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Typed or printed name	Registration Number, if applicable
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Charlhoume & Parke LLP	212-408-1129 Tolophage Number
Charlbourne & Parke LLP Address	Z12-408-1129 Telephone Number
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Address  30 Recketelller Plaza, New York, NY 19112 Address Enclosures: Fee Payment Reply Terminal Disclaimer Form Additional sheets containing statements establish Uther: Declaration of Tammy Parubchenko, Dana Casterlin, V	ning unintentional delay  Valter Hanchuk, Exhibit 182 + Sh. leant of vaidenting
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#### **Privacy Act Statement**

## **OFFICE OF PETITIONS**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Volkmar A. Sohner

Serial No.

09/838,793

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Filed

: April 20, 2001

Title

Data Model For Supply Chain Planning

JAN 0 2 2009

Group

2184

Examiner :

Albert W. Paladini

**OFFICE OF PETITIONS** 

# DECLARATION OF WALTER G. HANCHUK IN SUPPORT OF PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. 1.137(b)

Mail Stop Petition Commissioner for Patents P.O. Box. 1450 Alexandria, VA 22313-1450

#### To Whom it may concern:

- 1. My name is Walter G. Hanchuk, and I am an attorney with Chadbourne & Parke LLP (the "firm"), attorneys of record for the above-referenced application. I submit this declaration in support of the firm's petition for revival of an application for a patent abandoned unintentionally under 37 C.F.R.

  1.137(b).
- 2. Prior to my employment at the law firm of Chadbourne and Parke LLP ("the firm") in April of 2005, I worked as a patent attorney at the firm of Morgan & Finnegan, LLP for approximately fourteen years.
- 3. I have reviewed the affidavit Tammy Parubchenko, the firm's former patent docketing clerk, and upon my independent review of the docket concur with her asserted points 3, 4 and 5, therein, as follows in points 4, 5 and 6, respectively, below.
- 4. I hereby understand that it is the standard practice at the firm to have all correspondence from the U.S. Patent Office ("USPTO"):
  - (a) received initially by the firm's general mail room;

- (b) forwarded by the mail room to me for initial processing and docketing into the electronic docketing system that I maintain for the firm;
  - (c) copied and are stored in a file jacket that is associated with the matter; and
  - (d) forwarded to the prosecuting attorney for substantive review and processing.
- 5. With respect to the above referenced application, I hereby attest that I have reviewed the electronic docketing system and observed that no entry of a Notice of Allowance dated May 15, 2003 was received prior to January 29, 2007.
- 6. I attest that I observed that no action date was entered in the docketing system for the above-identified application indicating that a Notice of Allowance and Issue Fee Due had been received before January 29, 2007; a copy a docket report including electronic docketing system entries around the relevant dates is provided as Exhibits 1 and 2, and shows no entry for the matter (see point 8, below, for more detail regarding the Exhibits). I further attest that to date, that upon review of the file I have not observed the receipt of a Notice of Abandonment in the above referenced case at any time.
  - 7. Tammy Parubchenko left the Firm on April 18, 2007.
- 8. I attest that prior to and at the time of Tammy Parubchenko's departure, we used an electronic docketing system made by Computer Packages Inc. of 414 Hungerford Drive, Third Floor, Rockville, MD, ("CPI"), which was version 1.0 of their Patent Management System software product ("CPI Software").
- 9. I attest that prior to Tammy Parubchenko's departure, around early January of 2007, the Firm undertook a comprehensive audit of all our patent prosecution files in anticipation of upgrading our CPI Software ("Upgrade Audit").
- 10. I attest that around mid January of 2007, as part of our Upgrade Audit, our docketing clerk, Dana Casterlin, identified that case Attorney Docket No. 12637-231 (US Serial No. 09/838,793) had a lack of action and had been abandoned. Upon learning of the abandonment, with this information, we filed a petition to revive the application, which was made on January 31, 2007 ("Petition to Revive").

- 11. I attest that in January 2007, we undertook to upgrade our CPI Software to version 5.0 of their product ("CPI 5.0 Software"). Upgrade installation began in February 2007, and is still on-going. The upgrade has encountered several difficulties due to complications with legacy computer systems.
- 12. On January 4, 2008, we received a dismissal of the January 31, 2007 Petition to Revive the application ("Dismissal"). At this time we were running both CPI Software version 1.0 and CPI 5.0 Software concurrently. I understood this matter to have been docketed in both versions of the CPI software.
- 13. As best understood, as part of the migration from CPI Software version 1.0 to CPI 5.0 Software, an error was introduced such that this matter did not come up on our docketing reports, and no warning or print-outs of due date docket sheets included due dates for a response to reply to the Dismissal.
- 14. In November 2008, while performing another audit of our files confirming the fidelity of data migration from CPI Software version 1.0 to CPI 5.0 Software, we became aware that the due date for responding to the Dismissal had passed, and determined the error in the software migration.
- 15. It is my understanding that it was never the intention of our client to abandon this application. I have observed no record and have at no time received any instructions from the client indicating that this application should be abandoned. In fact, to the contrary, a petition to revive was prepared and filed concurrently with payment of the Issue Fee after learning that the application had become unintentionally abandoned and obtaining a copy of the Notice of Allowance. I further attest that the entire delay in filing the petition to revive was unintentional.
- 16. I further declare that all of the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

December 29, 2008

Walter & Hanchuk,
Reg. 35,179

Chadbourne & Parke LLP
30 Rockefeller Plaza
New York, New York 10112



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Volkmar A. Sohner

Serial No. Filed

09/838,793

April 20, 2001

Title

Group

Data Model For Supply Chain Planning

2184

Examiner

Albert W. Paladini

**OFFICE OF PETITIONS** 

RECEIVED

JAN **0** 2 2009

# **DECLARATION OF DANA CASTERLIN** IN SUPPORT OF PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. 1.137(b)

Mail Stop Petition Commissioner for Patents P.O. Box. 1450 Alexandria, VA 22313-1450

#### To Whom it may concern:

- 1. My name is Dana Casterlin, and I am the current Intellectual Property (IP) Docketing Administrator with Chadbourne & Parke LLP (the "firm"), attorneys of record for the above-referenced application. I submit this declaration in support of the firm's petition for revival of an application for a patent abandoned unintentionally under 37 C.F.R. 1.137(b).
- 2. Prior to my employment at the law firm of Chadbourne and Parke LLP ("the firm") in November of 2006, I was a patent paralegal and docket clerk for the U.S. and foreign patents and trademarks at the law firms of Ostrolenk, Faber, Gerb & Soffen for approximately six months, and at Bromberg & Sustein LLP for approximately two and one half years.
- 3. I have reviewed the affidavit Tammy Parubchenko, the firm's former patent docketing clerk, and upon my independent review of the docket concur with her asserted points 3, 4 and 5, therein, as follows in points 4, 5 and 6, respectively, below.
- 4. I hereby attest that it is the standard practice at the firm to have all correspondence from the U.S. Patent Office ("USPTO"):
  - (a) received initially by the firm's general mail room;

- (b) forwarded by the mail room to me for initial processing and docketing into the electronic docketing system that I maintain for the firm;
  - (c) copied and are stored in a file jacket that is associated with the matter; and
  - (d) forwarded to the prosecuting attorney for substantive review and processing.
- 5. With respect to the above referenced application, I hereby attest that I have reviewed the electronic docketing system and observed that no entry of a Notice of Allowance dated May 15, 2003 was received prior to January 29, 2007.
- 6. I attest that I observed that no action date was entered in the docketing system for the above-identified application indicating that a Notice of Allowance and Issue Fee Due had been received before January 29, 2007; a copy a docket report including electronic docketing system entries around the relevant dates is provided as Exhibits 1 and 2, and shows no entry for the matter (see point 9, below, for more detail regarding the Exhibits). I further attest that to date, I have not received a Notice of Abandonment in the above referenced case at any time.
- 7. Upon the departure of Tammy Parubchenko on April 18, 2007, I became the head IP Docketing Administrator at Chadbourne & Parke, LLP.
- 8. I attest that prior to and at the time of Tammy Parubchenko's departure, we used an electronic docketing system made by Computer Packages Inc. of 414 Hungerford Drive, Third Floor, Rockville, MD, ("CPI"), which was version 1.0 of their Patent Management System software product ("CPI Software").
- 9. With CPI Software version 1.0, Tammy Parubchenko would make entries regarding receipt of correspondence from the USPTO in the Remarks field (see Exhibit 1) for each matter. As such, it is not possible to simply print out a chronological list of items received from the USPTO as each matter has all log entries ever made relating to that matter in the Remarks field, and such log entries in the Remarks field are specific only to that individual matter. Therefore, in Exhibit 1, we generated a report of every matter having any entry made in the Remarks field from May 1, 2003 thru December 31, 2003 ("Relevant Dates"). From the very large number of raw results in Exhibit 1, which has Remark field entries outside

the bounds of the Relevant Dates, I reconstructed a simple linear chronological log of all materials received from the USPTO throughout the Relevant dates in the table in Exhibit 2.

- 10. I attest that prior to Tammy Parubchenko's departure, around early January of 2007, we undertook a comprehensive audit of all our patent prosecution files in anticipation of upgrading our CPI Software ("Upgrade Audit").
- 11. I attest that around mid January of 2007, I came upon our case Attorney Docket No. 12637-231 (US Serial No. 09/838,793) as part of our Upgrade Audit. Upon noticing a lack of action in the case file, I checked the status of the case on Private Patent Application Information (PAIR) at the USPTO, and determined that the case had become abandoned. Upon learning of the abandonment, I provided Walter G. Hanchuk with this information, allowing for the filing of a petition to revive the application, which was made on January 31, 2007 ("Petition to Revive").
- 12. I attest that in January 2007, we undertook to upgrade our CPI Software to version 5.0 of their product ("CPI 5.0 Software"). Upgrade installation began on February 2007, and is still on-going. The upgrade has encountered several difficulties due to complications with legacy computer systems.
- 13. On January 4, 2008, I received a dismissal of the January 31, 2007 Petition to Revive the application ("Dismissal"). At this time we were running both CPI Software version 1.0 and CPI 5.0 Software concurrently. In both versions of the CPI Software, I docketed the Dismissal with an issue date of December 21, 2007, an initial reply due date of February 21, 2008, and a final due date of July 21, 2008. The Dismissal was docketed in a manner, with a due date flag, such that the due dates for responding to the Dismissal should have printed with our docketing due-date sheets that are distributed to attorneys, and in a manner such that either or both versions of the CPI Software should have provided notice alerts of pending due dates in a timely fashion.
- 14. As best understood, as part of the migration from CPI Software version 1.0 to CPI 5.0 Software, an error was introduced such that the flag became unset, and no warning or print-outs of due date docket sheets included due dates for a response to reply to the Dismissal.

- 15. In November 2008, while performing another audit of our files confirming the fidelity of data migration from CPI Software version 1.0 to CPI 5.0 Software, we became aware that the due date for responding to the Dismissal had passed, and determined the error in the software migration.
- 16. It was my understanding that it was never the intention of our client to abandon this application. I have no recorded and have at no time received any instructions from the client or the responsible attorneys indicating that this application should be abandoned. In fact, to the contrary, a petition to revive was prepared and filed concurrently with payment of the Issue Fee after learning that the application had become unintentionally abandoned and obtaining a copy of the Notice of Allowance. I further attest that the entire delay in filing the petition to revive was unintentional.
- 17. I further declare that all of the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

December 29, 2008

Dana Casterlin,

IP Docketing Administrator,

Chadbourne & Parke LLP 30 Rockefeller Plaza

New York, New York 10112



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Volkmar A. Sohner

Serial No.

09/838,793

Filed

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April 20, 2001

Title

Data Model For Supply Chain Planning

RECEIVED

Group

2184

JAN 0 2 2009

Examiner

Albert W. Paladini

OFFICE OF PETITIONS

# DECLARATION OF TAMMY PARUBCHENKO IN SUPPORT OF PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. 1.137(b)

Mail Stop Petition Commissioner for Patents P.O. Box. 1450 Alexandria, VA 22313-1450

#### To Whom it may concern:

- 1. My name is Tammy Parubchenko, and I am a paralegal and patent docketing clerk with Chadbourne & Parke LLP (the "firm"), attorneys of record for the above-referenced application. I submit this declaration in support of the firm's petition for revival of an application for a patent abandoned unintentionally under 37 C.F.R. 1.137(b).
- 2. Prior to my employment at the law firm of Chadbourne and Parke LLP ("the firm") in January of 2002, I was a patent paralegal and docket clerk for the U.S. and foreign patents and trademarks at the law firms of Dechert, Price and Rhoads, LLP (for 4.5 years), and Bryan Cave, LLP (for about 5.5 years).
- 3. I hereby attest that it is the standard practice at the firm to have all correspondence from the U.S. Patent Office ("USPTO"):
  - (a) received initially by the firm's general mail room;

(b) forwarded by the mail room to me for initial processing and docketing into the electronic docketing system that I maintain for the firm;

(c) copied and are stored in a file jacket that is associated with the matter; and

(d) forwarded to the prosecuting attorney for substantive review and processing.

4. With respect to the above referenced application, I hereby attest that the Notice of Allowance dated May 15, 2003 was not received by me prior to January 29, 2007.

5. I attest that no action date was entered in the docketing system for the above-identified application indicating that a Notice of Allowance and Issue Fee Due had been received before January 29, 2007. I further attest that I have not received a Notice of Abandonment in the above referenced case at any time.

6. It was never my intention or the intention of our client to abandon this application. I have at no time received any instructions from the client or the responsible attorneys indicating that this application should be abandoned. In fact, to the contrary, a petition to revive was prepared and filed concurrently with payment of the Issue Fee after learning that the application had become unintentionally abandoned and obtaining a copy of the Notice of Allowance.

7. I further declare that all of the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

April 17, 2007

Tammy Parubchenko,

Paralegal and Patent Docketing Clerk,

Chadbourne & Parke LLP 30 Rockefeller Plaza

New York, New York 10112